







Animal Disease Traceability Forum

MEETING OBJECTIVES

- Review and clarify the new traceability framework
- Summarize March 2010 Traceability Forum with States and Tribes
- Share concepts of traceability performance standards
- Discuss and obtain feedback on traceability performance standards

Safeguarding Animal Health

Animal Disease Traceability Framework

- Preventing entry of diseases
- Successfully responding to animal diseases
- Implementing flexible, coordinated approach
- Embracing strengths and expertise of States and Tribal Nations
- Supporting with Federal funds and resources
- Developing appropriate standards

Safeguarding Animal Health

3

Fundamentals

- Only apply to animals moving interstate
- Build upon what has been successful buscillass /scrapte
- Priority is cattle
- Get back to basics cost effective ID
 - Use 9-character silver or "brite" tags
 - Get tags in the ears
 - Record distribution so they are traceable
- Progress over time
- · Allow for advanced technology (RFID)

Safeguarding Animal Health

Regulatory Changes

- Publish new animal disease traceability section
 - Only apply to animals moving interstate
- section 9 CFR
 from fed program
 rograms
 ve | super cede disease programs Consolidate ID regulations for disease programs in new traceability section - will not remove | super cede
- Review sections 71.18 and 71.19
- Define traceability performance standards
 - Must be able to trace animals moving interstate
- Require official ID for animals moving interstate

Safeguarding Animal Health

USDA Commitments

- Capitalize on progress of NAIS
- Provide information systems
- Support the development and publication of data standards and guidelines
- Collaborate with States, Tribes, and industry
- Ilaborate with States, Tribes, and
 Working Groups and Secretary's Animal Health
 Advisory Committee in process of restructury committee

 Lake summer | landy fall look & traceability as

 hery developed Working Groups and Secretary's Animal Health

Help fund implementation

VS 2015 Initiative

- Meet needs of national animal health landscape
- Driving forces: animal agriculture industry, technology, emerging diseases, food safety, expansion of international trade, and budgets
- Meet animal health challenges and become national veterinary authority of United States
- Strengthen partnerships and enhance collaboration

Safeguarding Animal Health

1

Moving Forward with Traceability

- Achieve basic, effective national traceability
- Not overly burdening producers
- Only apply to animals moving interstate
- Led and administered by States and Tribal Nations
- Ensure traceability data owned and maintained at the discretion of States and Tribes
- Encourage the use of lower cost technology

Safeguarding Animal Health

Midwall from the Finant Finant West Stranger of President Finant Finant

Financial Support

- Congressional support for traceability
- · Outcome-based system must show capability to these annals
 - Realistic, yet high merit results
- FY 2010
 - With carryover \$14.3 M
- FY 2011
 - President's budget \$14.6 M

Safeguarding Animal Health

Traceability Proposed Rule

Traceability Regulation Working Group

• Objective: To draft the framework of a rule whereby States and Tribes will be responsible for their animal disease traceability programs and where compliance to traceability performance standards directs interstate movement of livestock from the geographic area each State or Tribe is responsible for

Safeguarding Animal Health

State and Tribe WG Members

- Becky Brewer-Walker, Oklahoma's State Veterinarian
- Steve Crawford, New Hampshire's State Veterinarian
- Glenda Davis, Program Director, Navajo Nation Veterinary & Livestock Program
- Anita Matt, Confederated Salish and Kootenai Tribes
- Ross Racine, Executive Director, Intertribal Agriculture Council
- Keith Roehr, Colorado's State Veterinarian
- Carry Sexton, Project Coordinator, United South and Eastern Tribes, Inc.
- Brian Thomas, Outreach Coordinator, Indian Nation Conservation Alliance
- Jim Watson, Mississippi's State Veterinarian
- Martin Zaluski, Montana's State Veterinarian

Safeguarding Animal Health

11

Responsibilities of the Regulation WG

- Provide input to the proposed rule on:
 - 1. Traceability performance standards
 - 2. Protocols for evaluating tracing capability
 - 3. Compliance factors

Safeguarding Animal Health

Obtaining Input from Industry

- APHIS provides updates on progress of the regulation working group
 - Web site, public meetings, industry meetings, State Animal Health Officials, Tribal Authorities
 - Content of the regulation
 - "For discussion" traceability performance standards

Safeguarding Animal Health

13

Obtaining Input from Industry (continued)

- Feedback from industry
 - · Web site:
 - Traceability (www.aphis.usda.gov/traceability/)
 - Public meetings (written statements through 5/31)
 - State and Tribe discussions with local industries
 - Feedback to a working group member
 - Tribal consultation
 - National industry organizations and groups

Safeguarding Animal Health

General Timeline

- Publish proposed rule winter of 2010
- 90-day comment period
- Publish final rule 8-10 months after close of comment period
- Some requirements (such as animal ID and traceability performance standards) may be phased in over time following publication of the final rule

Safeguarding Animal Health

Animal Disease Traceability Forum









Interstate Traceability Performance Standards "For Discussion" Only May 7, 2010

How would these performance activities be conducted?

Consider the interstate movement scenario where an animal officially identified in Iowa is shipped to Nebraska, then to Kansas, and subsequently from Kansas to Missouri. At some time, Missouri identifies the animal as a reference animal for performance standards measurement purposes.

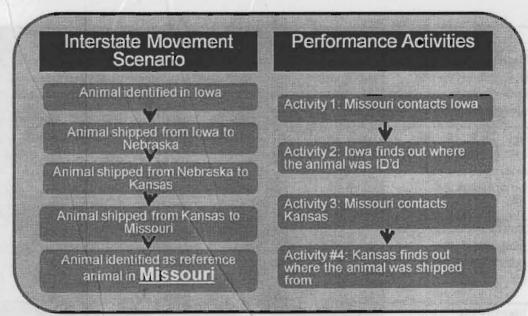
Even though there are several movements in this scenario, the initial performance standards activities only apply to the "book-ends", i.e., where the animal was identified and where it entered interstate movement immediately prior to entry into the last (or current) State or Tribe.

In this case, Missouri would be expected to:

- Conduct Performance Activity # 1: Notify Iowa, the State in which the animal was officially identified
- Conduct Performance Activity # 3: Notify Kansas, the State from which Missouri received the animal

Iowa would be expected to:

 Conduct Performance Activity #2: Identify the traceability unit in which the animal was identified



Kansas would be expected to:

• Conduct Performance Activity #4: Identify the traceability unit from which the animal was shipped when it moved to Missouri.

In an actual animal disease event, the epidemiological investigation would trace the animal to and from all States, with the State animal health official conducting the movements within the state. In this case, the movement of the animal to and from Nebraska would be evaluated. As progress is achieved through phases of the traceability framework, additional performance standards such capability will be considered.

Traceability – Calif. Perspective NIAA Annual Meeting - 2010



Dr. Richard Breitmeyer
Mr. Victor Velez
Dr. Anita Edmondson
California Department
of Food and Agriculture

Introduction

- · Animal Health/Disease Concerns
- · Animal Movements of Interest
- Current Traceability Tools
- Traceability Gaps
- Recommendations



Animal Health Concerns (Focus on Disease Programs)



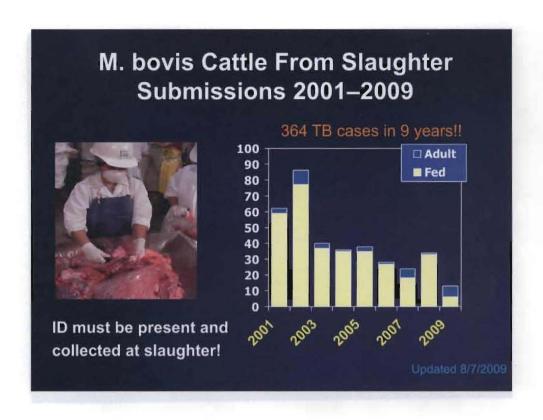
Cattle Diseases of Concern

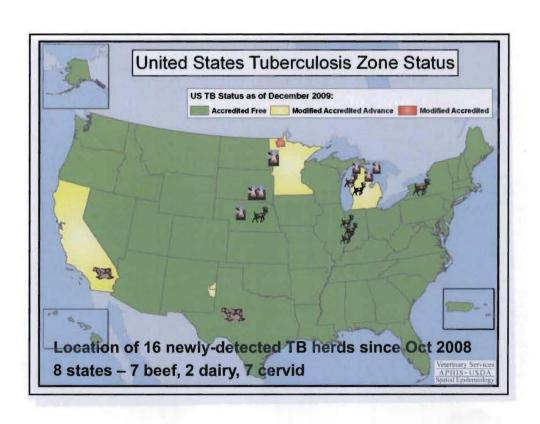
Disease Programs Must Drive Traceability

- Bovine Tuberculosis (TB)**
- · Bovine Brucellosis
- BSE (imports)
- Trichomonosis (priority in West)
- Foreign Animal Diseases (FAD)s
- Emerging Diseases (perhaps unknown today)

**TB (etc.) cannot be eradicated without adequate traceability

Finding Affected Cattle Herds - Live Animal vs. Slaughter Surveillance FY 1998-2009 ■ Tuberculin Michigan, Minnesota (61) ■ Slaughter (57 live, 4 slaughter) Rest of U.S. (31) Tuberculin ■ Slaughter (18 live, 13 slaughter, and 7 slaughter no herd) No herd* 92 affected cattle herds *No affected Herd detected = 7 adult slaughter cases from CA, TX, Neb, NM, SD

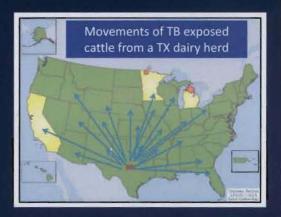


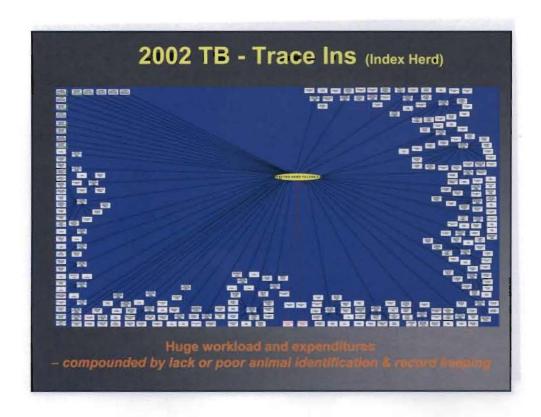


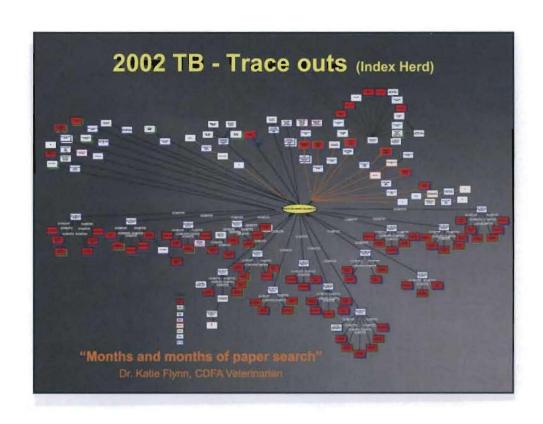
Texas – Ongoing Trace

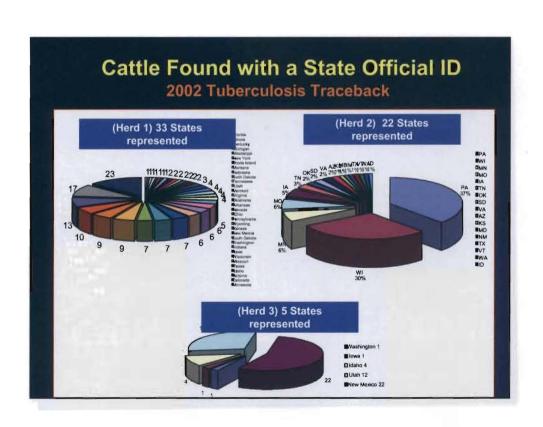
Extensive TB Trace - not uncommon

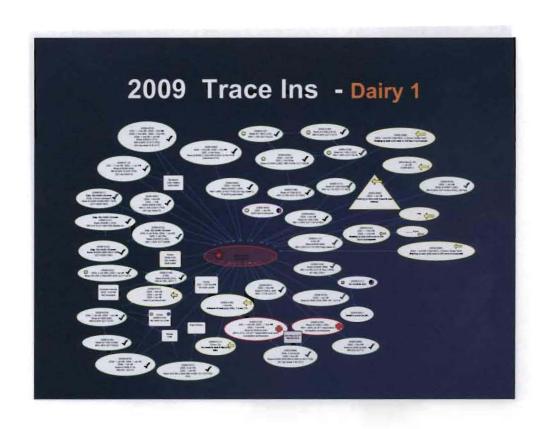
- 5,208 exposed heifers
- 22 States +
- ~ 2,000 exposed heifers identified in herds (~ 38%)
- 75 herds and 131,798 cattle tested to date

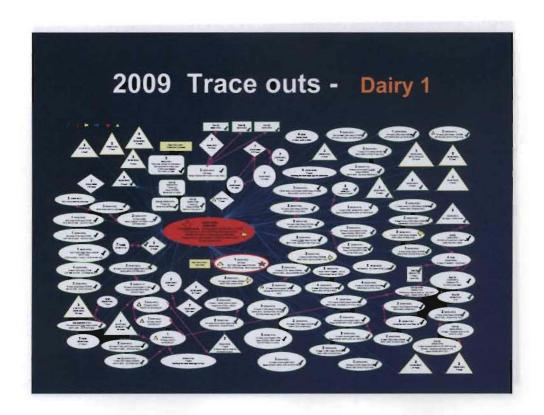












2009 California TB Event

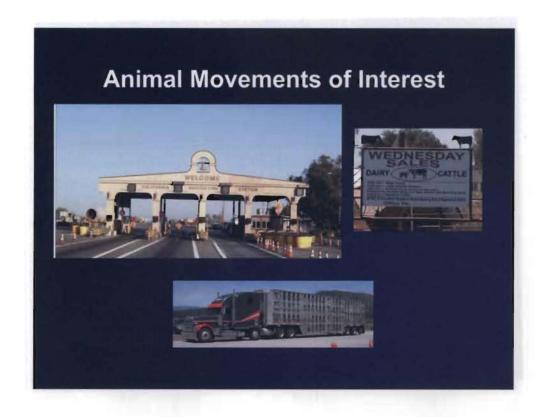
- · 4 affected herds
- · 8 infected cows, three different strains
- ~659 traces of ~21,000 cattle
- Tested ~254 herds (310 tests), ~419,000 cattle to date



TB Testing and RFID

- Provided over 400,000 RFIDs
- Well received by producers
- Enhanced accuracy and decrease testing time during retests
- Collected RFID information for over 3,500 suspect animals at slaughter plant (able to quickly reconcile data)





International Movements - 2009

- · Mexico (better ID than US if available?)
 - 55,700 imported into California (known)
 - Cattle graze with or near domestic cattle in Central and Southern California
 - May change ownership numerous times before moving to feedlot or slaughter
- Canada
 - Less than 600 dairy
 - Beef ~ 200 direct to slaughter





Interstate - 2009

- 39,597 shipments (border reports)
 - 17,115,271 animals
- 4,247 permits issued
 - 385,243 animals
 - Required for most cattle, sheep, goats, swine, waterfowl, bison



2009 Animals Entering CA Through CDFA Exclusion Border Stations

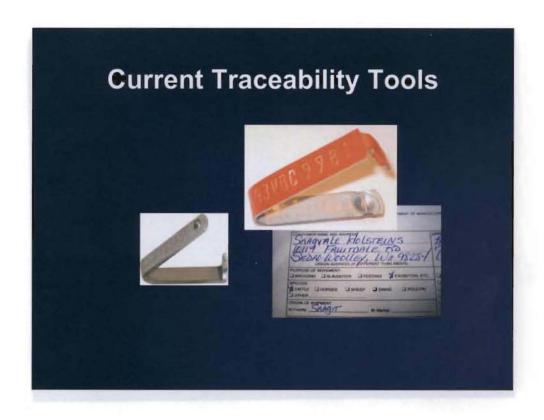
Species	# of Head Imported		
Beef	830,281		
Dairy	37,110		
Swine	2,567,653		
Sheep	254,078		
Goats	51,262		
Horses	51,775		
Poultry	11,892,884		
Hatching Eggs	1,381,256 cases		

39,597 Shipments

2009 Livestock Entry Permits

Species	Permits	# of Head
Dairy	868	49,558
Beef (including Slaughter)	1871	85,748
Sheep	534	66,976
Goats	465	16,888
Swine	488	165,871
Waterfowl	1	7
Bison/Buffalo/Yaks	20	195
Total	4,247	385,243

From 45 states and 2 foreign country



Traceability Tools (Official ID)

- · Brucellosis Vaccination tag ("Bangs tag")
 - California requires all heifers to be vaccinated including those imported from other states
 - Often only ID at slaughter for intrastate movement
- USDA Silver "bright" tag
 - Often the only official id on interstate movement
 - Used during TB and Brucellosis testing for animals without an official ID
- Cheap/easy to place, but expensive/difficult to read and record





Traceability Tools - Official ID

- · Canada and Mexican official ID
 - Sometimes the ID is removed so unable to trace it to a State/Province
- Brands
 - As a supplemental ID has helped tracing animals when other ID is not present
 - Removed at slaughter
 - Not unique
 - Only 14 Brand States





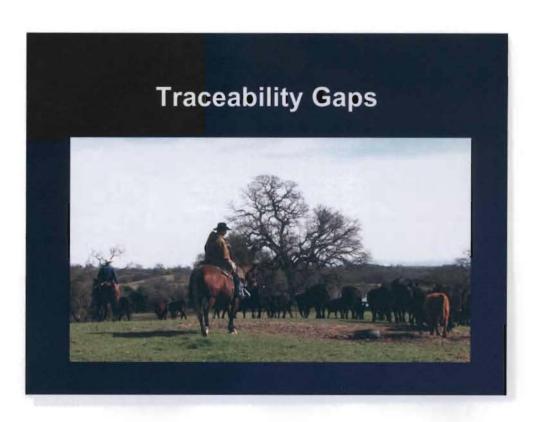
Traceability Tools - Records

- Brucellosis vaccination
 - Over 800,000 heifers/year
 - 90 % dairy
 - CDFA State database and manages distribution of tags
- Interstate Certificates of Veterinary Inspection (CVIs)
 - 18,202 received and reviewed from other States
 - 596,549 animals
 - Most in paper format (1-2% e-cert for cattle)
 - Not currently in data base
- · International Certificates
 - Via specific request to USDA



Traceability Tools - Records

- · Brand records
 - Document many intrastate movements and some interstate
 - Most records in paper format
- Permits
 - Record location of origin and destination
 - Only interstate and some international
- · Private testing for official programs
 - Performed by accredited veterinarians
- · Saleyard consignments



Traceability Gaps

- · New approach to Brucellosis
 - With first point testing discontinued, fewer cattle identified
- · Fewer states require brucellosis vaccination
 - Many females no longer have an official ID
- Movement records do not exist for some animals
 - Mexican and Canadian imports after entry
 - Some TB reactors found at slaughter have a Mexican ID but no records of movement in the US
 - Removal of official ID is a concern

Traceability Gaps

- Some practitioners retag animal rather than recording existing tags
- Many dealers/traders do not maintain adequate records
- Most official records are in paper format – not in data base
 - CVIs
 - Brand Inspections
 - Official Tests
- Saleyard consignments are in paper format



Traceability Gaps

- Exhibitions (provide outreach opportunities)
 - 14,000 youth participate in 4-H projects (CA)
 - Jackpots
 - No records
- · Lack of standards across states
 - Multiple official IDs and location identifiers
- Some groups of cattle will never have an official ID or require movement records
 - Concern for long incubating diseases such as BSE
 - Discussion neede is cost worth benefit???

Traceability Gaps

An example of economic impact...

The Bovine Tuberculosis (TB) episode of 2002 illustrated the value added of effective traceability.

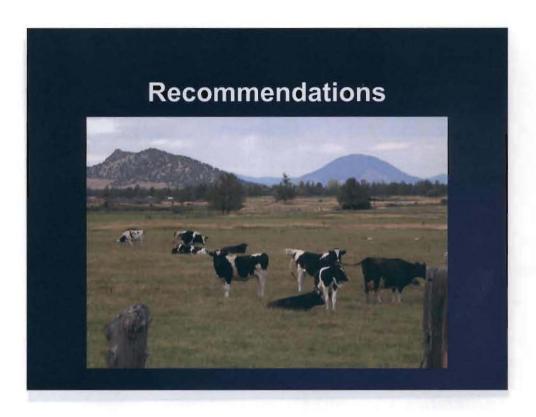
Staff at CDFA re-evaluated costs of the TB episode under the scenario that testing was restricted to herds that were traced as opposed to an "area test".

Reducing the number of herds tested from 688 herds (area testing) to 129 herds (trace) could reduce costs to the government by \$880,000 (CDFA costs \$505,000, USDA costs \$375,000). Plus PRODUCER COSTS!



Other Issues

- Food Safety and Drug Residues
 - Lack of identification is a problem
 - FSIS now enforcing residue HACCP and penalizing plants – plants must respond
 - If untraceable may impact producers ability to sell
- USDA and FDA reaching out to states to help



Process

- Identify and prioritize traceability needs for existing disease programs
- Identify current traceability tools that are effective
- Identify gaps
- Fill gaps
- Provide appropriate message/justification

Specific Recommendations - Example

Identify/Prioritize Traceability Needs for Existing
Disease Program – TB Program

- Bovine TB perhaps highest priority for cattle
 - Can justify national ID requirements/50 state participation
- Identify existing effective traceability tools
 - Brucellosis tags, silver brights, RFID, etc.
 - Accept all, but promote advantages (RFID
- · Identify high risk animals that need to be identified
 - Breeding animals
 - Imports
 - Rodeo/Event cattle

Specific Recommendations - Example

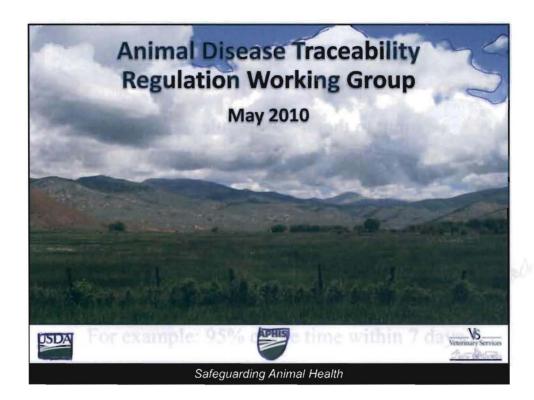
Identify/Prioritize Traceability Needs for Existing
Disease Program – TB Program

- Identify those high risk movements/events to capture data
 - Movement from herd of origin (intra or interstate)
 - Vaccination
 - Interstate movement
 - Testing for movement, investigation, sale, etc.
 - Collection of ID at slaughter (needs investment)

Specific Recommendations - Example Identify/Prioritize Traceability Needs for Existing Disease Program – TB Program

- · Record keeping
 - Support data base needs in each staete
 - Link to existing programs
 - Ensure standards among states
 - Strive for more automation over time (electronic CVIs, etc.)
- Demonstrate to producers and other stakeholders why animal disease traceability is needed to eliminate TB)





Objective

Draft the framework of a proposed rule that will:

- Give States and Tribes the responsibility for their animal disease traceability programs
- Direct interstate livestock movement through compliance with performance standards

Safeguarding Animal Health

Working Group Responsibilities

Provide input to the proposed rule on traceability by recommending:

- Traceability performance standards
- Methods of evaluating tracing capability
- Consequences for noncompliance
- Incentives for compliance

Safeguarding Animal Health

3

What Is a Traceability Performance Standard?

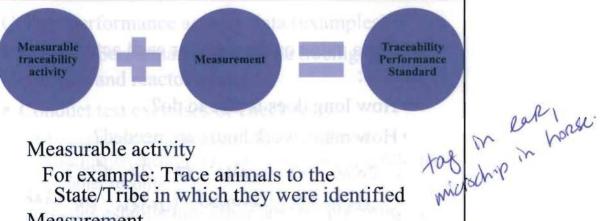
- Measures a desired outcome
- Not the methods for achieving the outcome
- Way to evaluate all traceability methods equally
- Generalized, not specific (when possible)
 - Standards should focus on tracing animals, not diseases

Safeguarding Animal Health

4

mens to achieve outcome evaluate outcomes evenly lacross state & speares both) focus on tracing animals





Measurable activity

For example: Trace animals to the State/Tribe in which they were identified

Measurement

For example: 95% of the time within 7 days

Safeguarding Animal Health

How Is a Standard Developed?

- Determine what activity is being measured, such as:
 - Contact the State/Tribe where a shipment originated
 - Contact the State/Tribe where an animal was officially identified

Safeguarding Animal Health

How Is a Standard Developed? (cont'd)

- Define a value or timeline for each activity, such as:
 - How long does it take to do?
 - How many work hours are needed?

typically by bol of animal health on state ver office

Safeguarding Animal Health

7

How Is a Standard Developed? (cont'd)

- Establish a baseline: Where are we today?
 - Helps establish a acceptable standard value for each activity
 - Meaningful
 - Achievable

Safeguarding Animal Health

How Is a Standard Evaluated?

Collect performance activity data (examples)

- Measure performance in routine tracing of suspects and reactor animals
- Conduct test exercises or check tests
 - Use random data from test charts, calfhood vaccination records, interstate movement certificates, or other records
- Consider establishing other descriptive requirements

Safeguarding Animal Health

Ç

What Are the Consequences for Noncompliance?

If a State/Tribe does not achieve the traceability performance standards, there needs to be meaningful consequences

- Don't know yet what those will be
- Need not be "heavy handed"
- Incentives for compliance need to be considered
- Your input on this issue is critical

Safeguarding Animal Health

Working Group Progress

Topics discussed:

- Key points from Kansas City Traceability Forum
- What do State/Tribal animal health officials need to measure to adequately assess their tracing capability?
- What are the current capabilities of States/Tribes?
- What performance standards are appropriate?

Safeguarding Animal Health

11

Working Group Progress (Cont'd)

Topics discussed:

- What classes of livestock should be exempt or phased in?
- How should States/Tribes be categorized with regard to performance standard compliance?
- What should the consequences be for noncompliance?
- How should the working group's progress be communicated to the public?

Safeguarding Animal Health

How Do Animal Health Officials Trace Animals for Disease Today?

- Trace animal to State/Tribe where it was officially identified level of VII inspection, persons
- Trace animal to State/Tribe it was shipped from
- Trace animal to herd of origin
- Find all herds animal has been in
- Trace movements into and out of affected herds
- Identify adjacent herds for disease monitoring and surveillance
- Notify State/Tribe of origin of animal's movements

Safeguarding Animal Health

13

for movement lauly or bill of sale

What Activities Relate to Interstate Movement?

- Tracing animals to the State/Tribe where they were officially identified
- Tracing animal to State/Tribe they were shipped from
- Notifying State/Tribe of origin

These activities provide basis for interstate traceability performance standards

Safeguarding Animal Health

hes in boxes in many places in many places focus on putting date where its easily accessible

What Are Current Capabilities?

- Current capabilities are inadequate
- We do not have a good baseline for time it takes to conduct disease tracing activities for every State or Tribe
- We need to establish a baseline
 - States/Tribes to document tracing capability as part of FY 2010 cooperative agreements
 - APHIS to evaluate national tracing capability
 - Help establish a minimum acceptable standard value

"All" doesn't mean "all" in government work.

Current Thinking: General Requirements

All livestock moved interstate must be

- Officially identified
- Accompanied by interstate certificate of veterinary inspection (ICVI) or movement permit Chealth centificate)
- Exemptions will be defined

Livestock moved interstate must meet applicable provisions of program disease regulations

Safeguarding Animal Health

Current Thinking: Exemptions

- 2 separate areas to consider
 - Exemptions for the requirement for official ID for interstate movement
 - Exemptions for the requirement of a certificate of veterinary inspection

Safeguarding Animal Health

17

Current Thinking: Exemptions (Cont'd)

- Considerations (examples)
 - Classes of livestock
 - Feeder animals
 - Types of interstate movements
 - Routine movements within a production system (pontry & sure, maybe cattle)
 - Movements directly to slaughter
- Input needed

Safeguarding Animal Health

Current Thinking: State/Tribe Status

- States/Tribes must have traceability plans consistent with interstate traceability performance standards or must meet additional requirements
 - Additional requirements not yet defined
- Separate status for each species that have performance standards
- "Name" of status not yet determined
- Listings of status States/Tribes, according to species on the Web Wisting of compliance non-compliance

Safeguarding Animal Health

Current Thinking: Performance Standards

- 1. A receiving State/Tribe is able to contact the State/Tribe in which an animal was officially identified (where was tag put in early
 - 95% of the time within 1 business day

brite tags make it easy to do-just comprese

Safeguarding Animal Health

helmother by by det. differes by

Current Thinking: Performance Standards (Cont'd)

- 2. The State/Tribe in which an animal was officially identified is able to identify the traceability unit in which animals were identified
 - Phase 1:
 - 75% of the time within 5days
 - Phase 2:
 - 95% of the time within 2 business days

Safeguarding Animal Health

21

Current Thinking: Performance Standards (Cont'd)

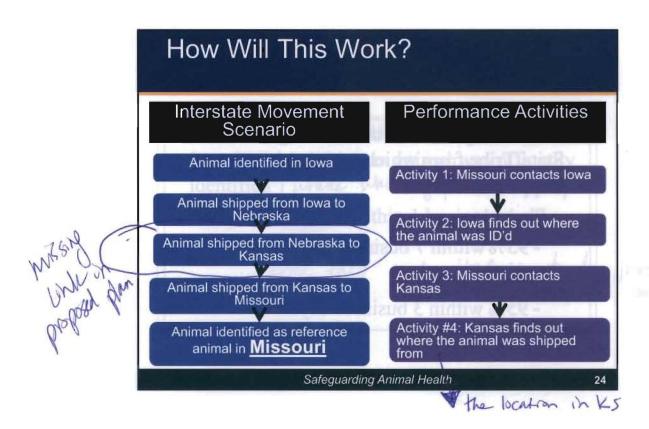
- 3. A receiving State/Tribe is able to contact the State/Tribe from which an animal was shipped
 - Phase 1:
 - 95% within 7 business days
 - Phase 2:
 - 95% within 3 business days

Safeguarding Animal Health

Current Thinking: Performance Standards (Cont'd)

- 4. The State/Tribe from which an animal was shipped is able to identify the traceability unit from which animals were shipped
 - Phase 1:
 - 75% of the time within 5days
 - Phase 2:
 - 95% of the time within 2 business days

Safeguarding Animal Health



Input Needed: Compliance/Consequences

- How to determine compliance with identification requirements is under discussion
- How to fairly evaluate compliance with performance measures is being researched
- Input is needed

Safeguarding Animal Health



ANIMAL TRACEABILITY DISCUSSION QUESTIONS

1. TRACEABILITY PERFORMANCE STANDARDS

- How will these traceability performance standards address current gaps in traceability?
- What other traceability performance standards need to be considered?
- What animals of your species should be exempt from the official identification requirement (e.g. age, classes, certain interstate movements), and thus would be excluded from the portion of the population that the traceability standards apply to?



2. EVALUATING TRACING CAPABILITY

- How could the States/Tribes be evaluated against these standards?
- How should the results of these evaluations be made public?
- What happens when a State/Tribe doesn't meet the performance standards? Increased disease testing before infrestate movement
- How could industry contribute to States and Tribes meeting these performance standards?

3. PARTICIPANT CONCERNS

- Which of the identified issues are of the greatest concern to your species/industry, and why?
- What options for solutions might be considered?
- What other issues concern you at this point that aren't on the list?
- What are some possible solutions to those concerns?

Preliminary Agenda Animal Disease Traceability

Public Industry Forum May 11, 13 &17, 2010 8:00am- 4:00pm

Locations: Kansas City, MO, Riverdale, MD, Denver, CO

Meeting Purpose

To allow the industry to provide input to APHIS and the Regulatory Working Group on the animal disease traceability framework, including the traceability regulation and traceability performance standards being developed.

Meeting Objectives

- 1. Review and clarify the new framework
- 2. Discuss approaches to performance based regulations
- 3. Deliberate performance standard concepts developed by the Regulatory Working Group

Morning (Registration, 7:00-8:00am)

Morning (Meeting will start at 8:00am)

Welcome and Opening Remarks

Meeting Moderator APHIS Official

Regulatory Working Group Representative

Presentations,

Animal Disease Traceability Framework

<u>Description</u>: Share the new components and concepts of the traceability framework with Industry and the general public.

Report of the Regulatory Working Group

<u>Description</u>: Provide a summary of their discussion and thought processes regarding the new traceability framework and proposed rule being considered.

Mid-morning-Early Afternoon

Small Group Sessions

<u>Description:</u> Meeting participants will be asked to share suggestions for solutions on current discussion of concepts and conceptual ideas. Discussion of small group may be shared with the larger group. Questions will be asked around the following topics:

- Feedback on preliminary traceability performance standards
- Consequences for States and Tribes not complying with performance standards
- General discussion

Moderated Questions and Answers, APHIS Official

Final Comments and Thoughts, APHIS Official

Adjourn

USDA APHIS Animal Disease Traceability Industry Forum

Please list any questions or concerns you have regarding the Animal Disease Traceability Framework. A USDA official will attempt to answer any remaining questions at the conclusion of the breakout discussions. Thank you again for participation.